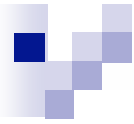


Electron-Beam Nanolithography

Emerging Technology
Communications Institute

Learn how to write at the nanometer scale

Aju Jugessur Ph.D.



Training Modules Outline

- Introduction to E-Beam Lithography – 1hr
- Electron-Solid Interactions – 1hr
- E-Beam Lithography System EBPG5000+ - 2hrs
- Sample and Data Processing Protocols – 2 hrs
- Hands-on and Lab demonstration – 3 hrs

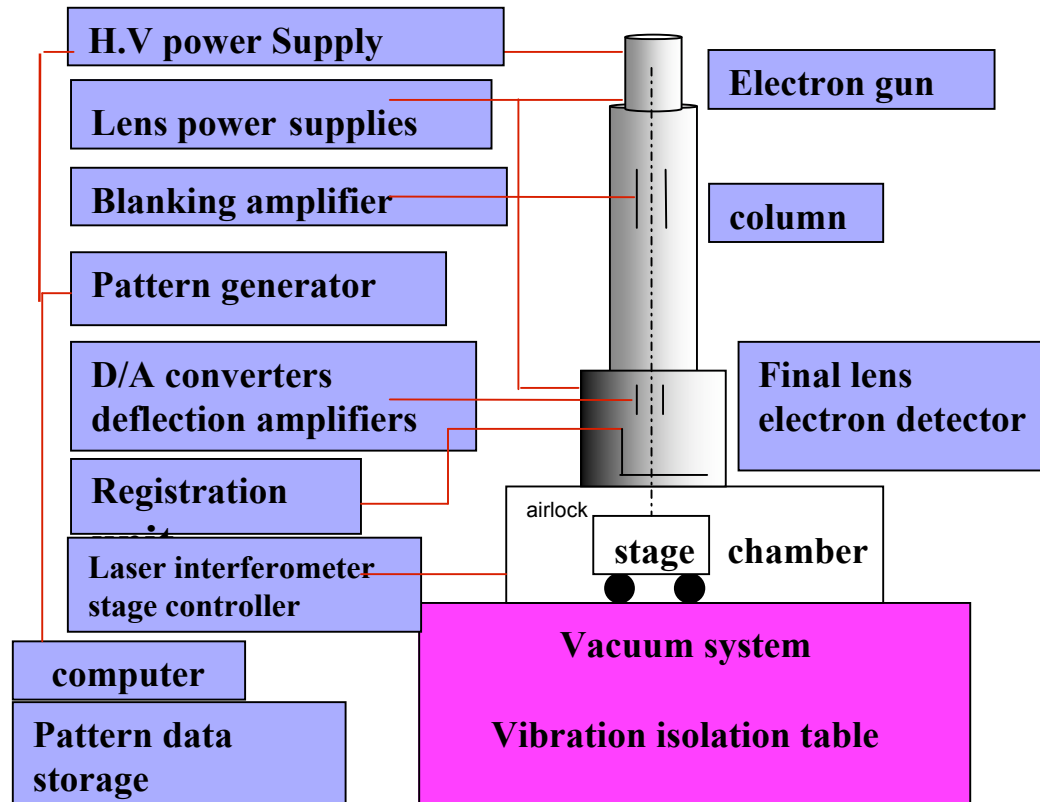


Introduction

- Electron Beam Lithography (EBL) – specialized technique for creating extremely fine patterns at the submicron or nanoscale, i.e 100 nm or smaller
- Basically, it consists of scanning a beam of electrons across a surface covered with a resist film sensitive to electrons
- First EBL machines based on SEMs developed in late 1960's
- Followed by the discovery of PMMA (polymethyl methacrylate)

Block diagram of EBL tool

EBL column



- electron source
- lenses
- mechanism to deflect beam
- blanker - for turning beam on and off
- stigmator - for correcting any astigmatism
- apertures - to help define beam
- alignment systems – center beam
- electron detector – assist with focusing, locating marks



Applications of EBL technology

- Chrome-on-glass optical mask fabrication
(for electronic integrated circuits)
- Direct write for advanced device prototyping
(specialty products such as GaAs Integrated circuits and optical waveguides)
- High-frequency electronics devices –
 Gatelengths in the 100 nm range can be produced with good process latitude and yield
- Photonics and Optoelectronics devices –
 wavelength filters, Bragg gratings, optical waveguides and diffractive patterns
- Masters and molds for Nano-Imprint Lithography
- Biological and lab-on-a-chip applications
- Quantum computing device fabrication



Electron-optics

- Electron optics are a very close analog of light optics

Most of the principles of an electron beam column can be understood by thinking of electrons as rays of light

- **Electrons source emission** — Thermionic (heating a metal), Field Emission (applying Electric field)
- **Key parameters:** virtual source size (μm), brightness ($\text{A}/\text{cm}^2/\text{sr}$), energy spread of electrons (eV)

Brightness can be compared to intensity in light optics – brighter the electron source, higher the current.

Beam with a wide energy spread (undesirable) is similar to white light
Beam with narrow energy spread comparable to monochromatic light



Electron-Optics....

Key element in micro/nanofabrication: ability to tightly focus particle beams

Particle energy E_0 (eV)

Particle	1	10	10^2	10^3	10^4	10^5	10^6
Photons $\lambda = 1.2399/E_0 \text{ } \mu\text{m}$	1.24	1.24×10^{-1}	1.24×10^{-2}	1.24×10^{-3}	1.24×10^{-4}	1.24×10^{-5}	1.24×10^{-6}
Electrons $1.23 \times 10^{-3} / (E_0 + 10^{-6} E_0^2)^{1/2}$	1.23×10^{-3}	3.88×10^{-3}	1.23×10^{-4}	3.88×10^{-5}	1.22×10^{-5}	3.70×10^{-6}	8.7×10^{-7}
Protons $\lambda = 28 \times 10^{-6} / E_0^{1/2} \text{ } \mu\text{m}$	2.87×10^{-5}	9.07×10^{-6}	2.87×10^{-6}	9.07×10^{-7}	2.87×10^{-7}	9.07×10^{-8}	2.87×10^{-8}

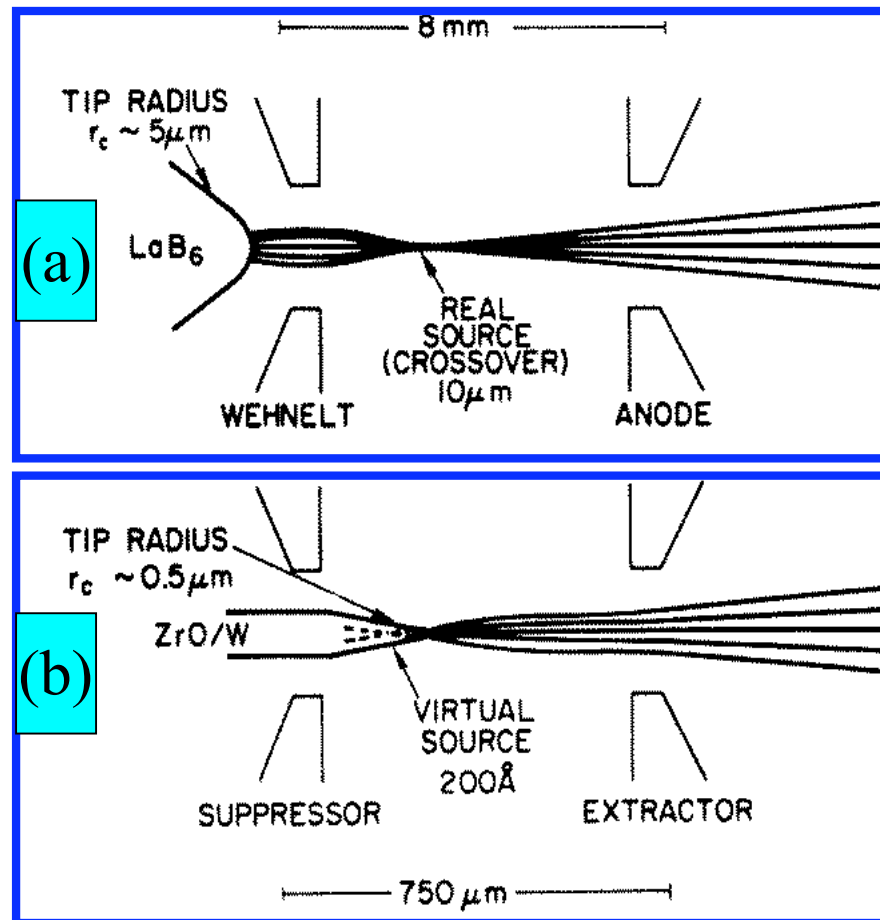
Particle Wavelengths (μm) at various particle energies E_0 (eV)



Electron source types

Source type	Brightness (A/cm ² /sr)	Source size	Energy Spread (eV)	Vacuum requirement (Torr)
Tungsten (thermionic)	$\sim 10^5$	25 μm	2-3	10^{-6}
LaB ₆ (thermionic)	$\sim 10^6$	10 μm	2-3	10^{-8}
Thermal (Schottky) Field emitter	$\sim 10^8$	20 nm	0.9	10^{-9}
Cold field emitter	$\sim 10^9$	5 nm	0.22	10^{-10}

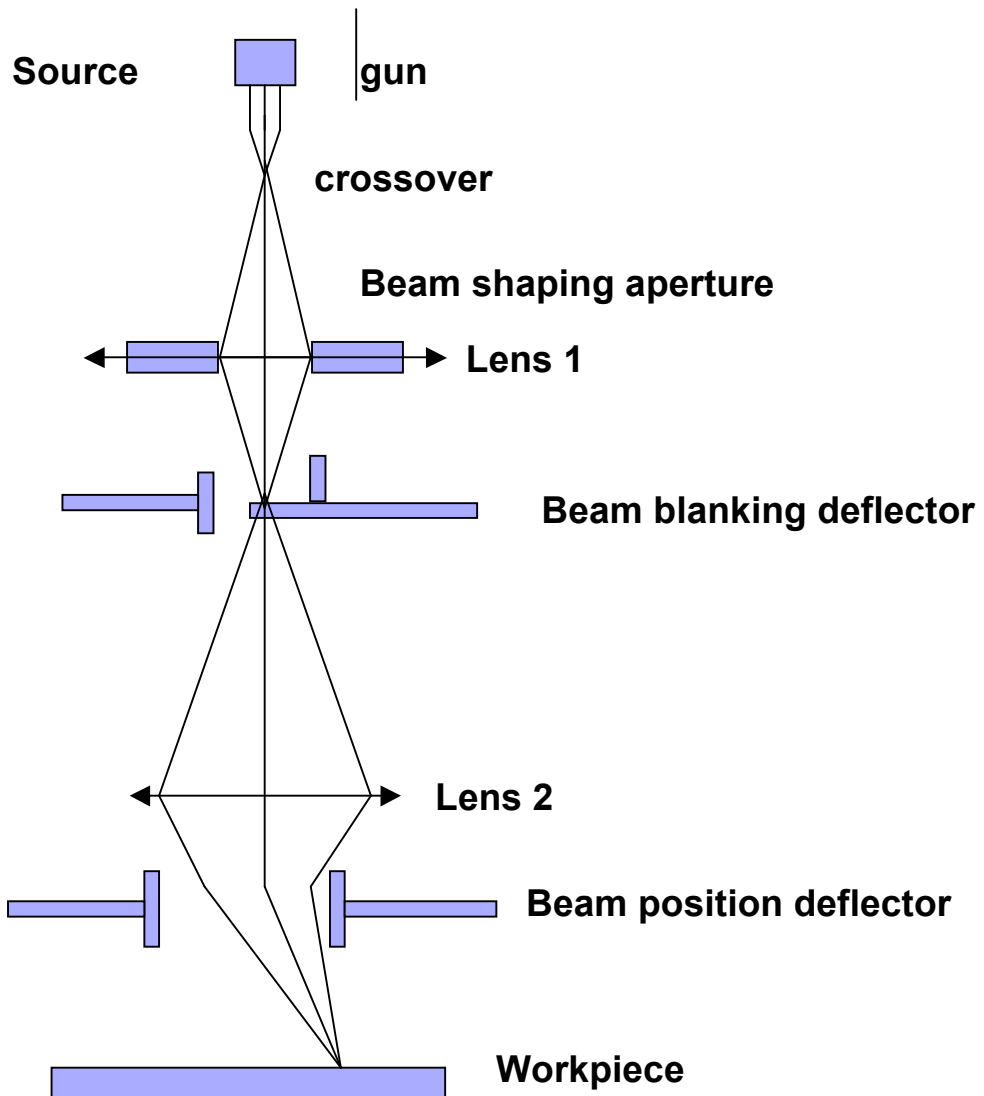
Electron source

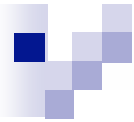




- **Lenses** — both electro-static and electro-magnetic to converge main beam into smaller spot
- **Beam Blanker** — switches beam on and off as required
- **Electron Optics** — beam formation and position control
- **Vacuum System** — maintain different vacuum levels
- **Pattern Generator**- transfers pattern data to beam for exposure
- **Stage System** — moves substrate for large area exposure
- **Control Electronics** — operator interface, hardware and software controls
- **Correction Systems** — measure and compensate system distortions

Ray diagram of an electron optical system





More on Electron-optics components

- Quality of electron lenses are not as good as optical lenses – aberration problems.

Spherical aberrations – outer zones of the lens focus more than inner zones

Chromatic aberrations – electrons of slightly different energies get focused at different image planes.

Can be reduced by reducing convergence angle of the system so that electrons are confined to center of lenses – reduce current

Electrostatic lenses have worse aberrations than magnetic lenses

- Beam blanker – (i) when beam is blanked, attenuation must be great, typically a value of 10^6 is specified
(ii) any spurious beam motion introduced must be much smaller than size of a pattern pixel, typically $< 0.1 \mu\text{m}$ of motion
(iii) response time of blanker \ll pixel exposure time, typically response time $\ll 100 \text{ ns}$

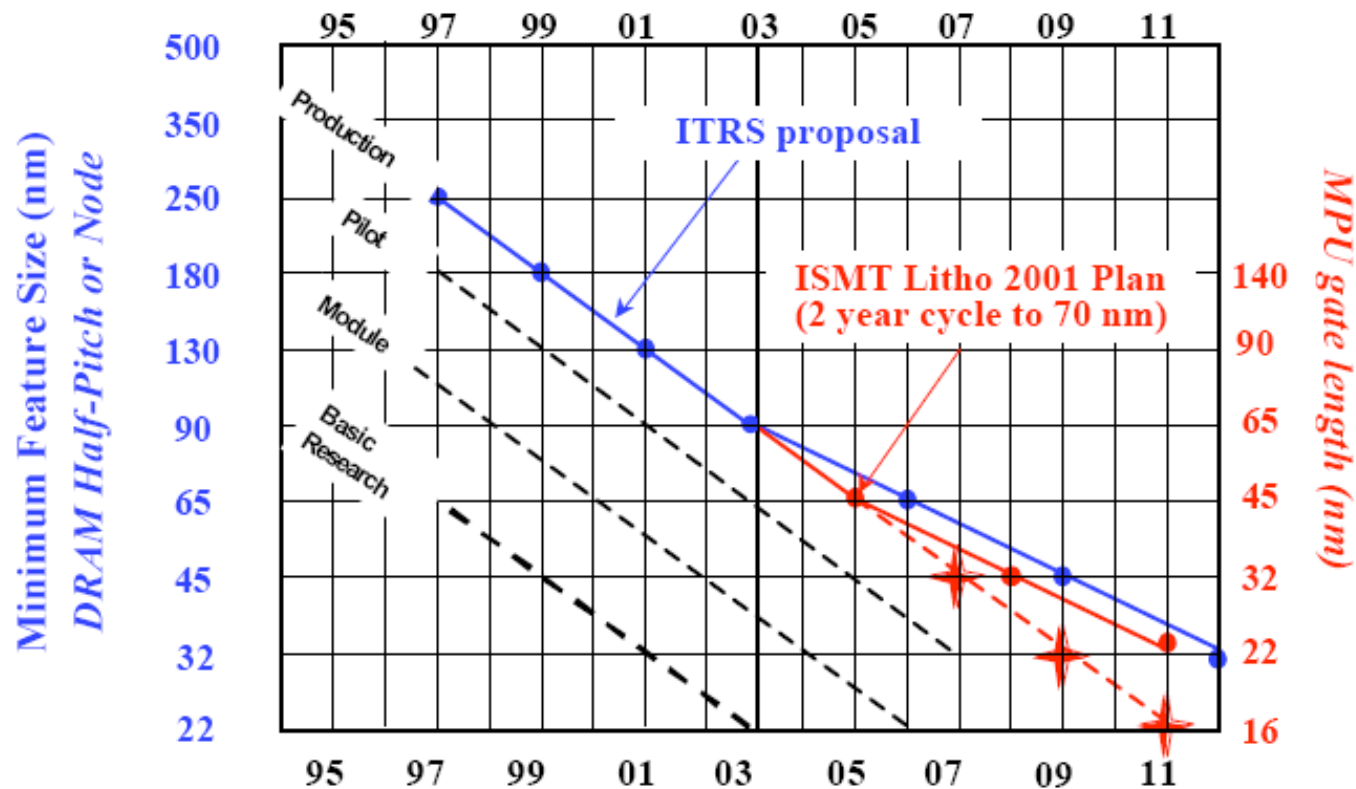


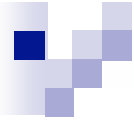
Future of E-Beam Lithography



ITRS Roadmap (Moore's law)

International Technology Roadmap for Semiconductors

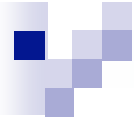




Alternative Nanolithography techniques

Contenders for NGL

- **Optical Lithography @ 157 nm**
- **EUV lithography @ 13.5 nm**
- **Ion Projection Lithography (IPL)**
- **Electron Projection Lithography (EPL)**
- **X ray lithography @ 1 nm**
- **Direct Write E-Beam Lithography**



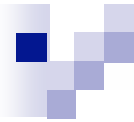
What are NGL challenges

Technical Challenges

- Resolution
- Throughput (80-120 W/H)
- CD control (10 % of nominal CD)
- Overlay (30 % of the node)
- Resist issues

Economic challenges

- Mask cost and fabrication delay
- Equipment cost
- Equipment 'in time to market'



Lithographic requirements

Year of Production Technology Node	2001 130nm	2003 90nm	2005 65nm	2007 45nm	2010 32nm	2013 22nm
Half-pitch (nm)	130	90	65	45	32	22
Contacts (nm)	150	100	70	50	35	25
Overlay (nm, mean + 3 sigma)	45	31	23	18	13	9
Gate length (nm, in resist)	90	53	35	25	18	13
Gate length (nm, post-etch)	65	37	25	18	13	9
Gate CD control (nm, 3 sigma, post-etch)	5.3	3.0	2.0	1.5	1.1	0.7



Limits of Optical Lithography

Resolution: $R = k_1 \lambda / \text{NA}$

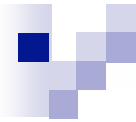
Depth of Focus: $\text{DOF} = k_2 \lambda / \text{NA}^2$

To decrease R : λ need to decrease and increase NA

BUT, DOF decreases too

Need to decrease k_1

k_1 , optical engineering = function (resist, mask, illumination)

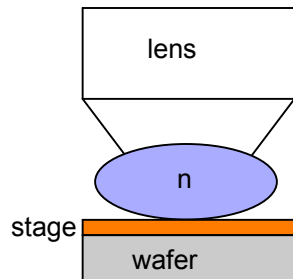


157 nm lithography

157 nm wavelength looked the natural and easiest path to be the next litho. After 193 nm but:

- **Problems to produce CaF_2 crystal in large amount**
- **Std. reticles and pellicles not transmitting**
- **New resist platform needed – under development**
- **2 yrs delay, 157 nm ‘not in time’**

Immersion lithography



$$NA = n \sin \theta, \theta \text{ acceptance angle}$$

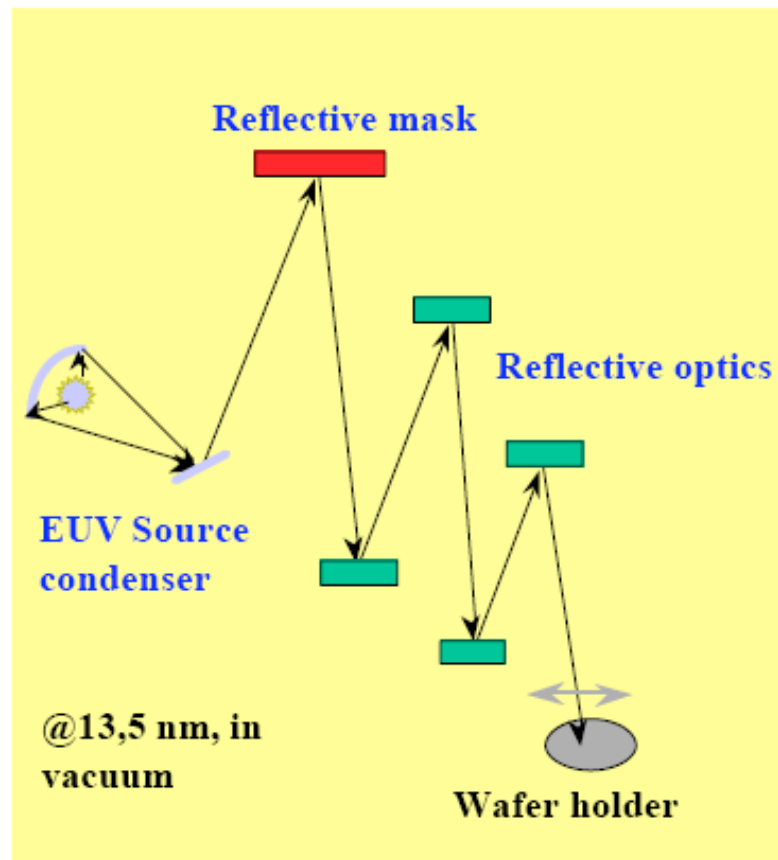
$$R = k_1 \lambda / NA$$

$$DOF = k_2 / NA^2$$

$$R = k_1 (\lambda / n) / \sin \theta$$

	Medium	n	λ/n
193 nm dry	air	1.0	193 nm
193 nm immersion	H ₂ O	1.47	131 nm
157 nm dry	N ₂	1.0	157 nm
157 nm immersion	PFPE	1.37 nm	115 nm

EUV lithography



Everything absorb EUV light

✍ **Vacuum**

✍ **Reflective masks and optics**

Sources: based on plasma (Xe,Sn,In) emitting in EUV (13.5 nm)

✍ **Laser Produce Plasma (LPP)**

✍ **Discharge Produce Plasma (DPP)**

Specifications very tight

✍ **ML mirrors: 70% reflectivity**

✍ **Masks: defects < 10^{-3} defects/cm²**

✍ **optics: < 0.1 nm roughness**

✍ **sources: 120 W, no debris**



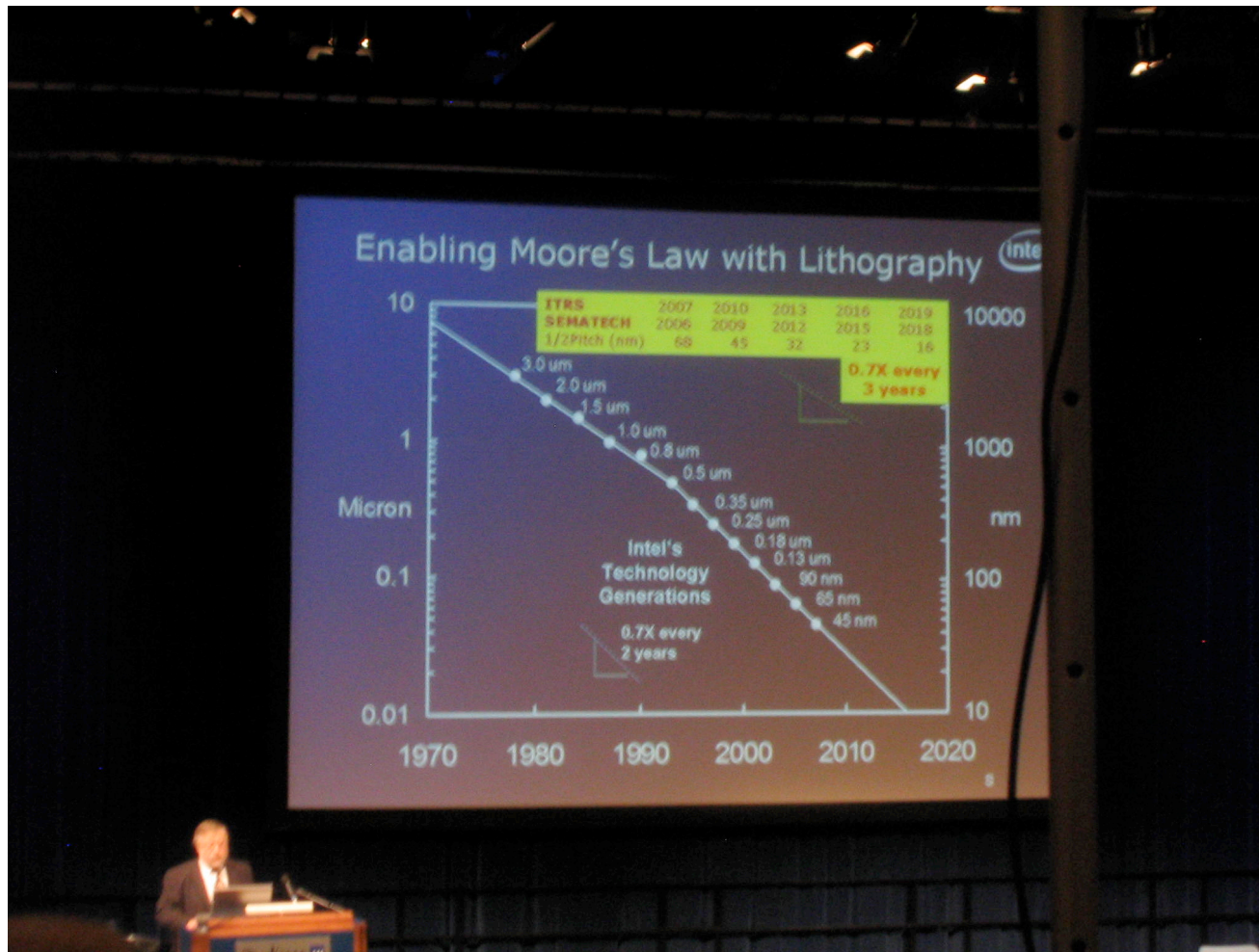
Economics: masks costs tomorrow

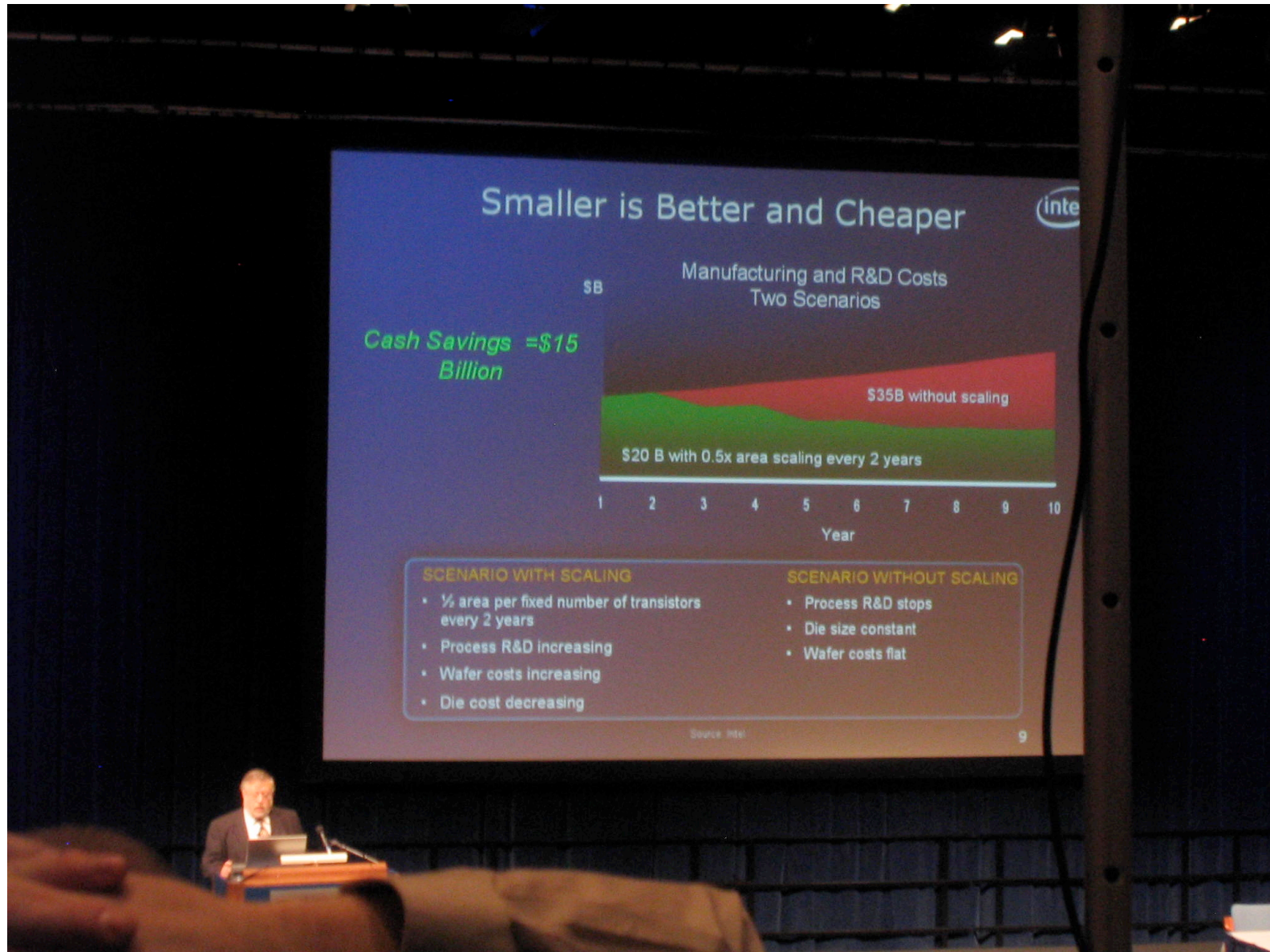
NGL costs and availability

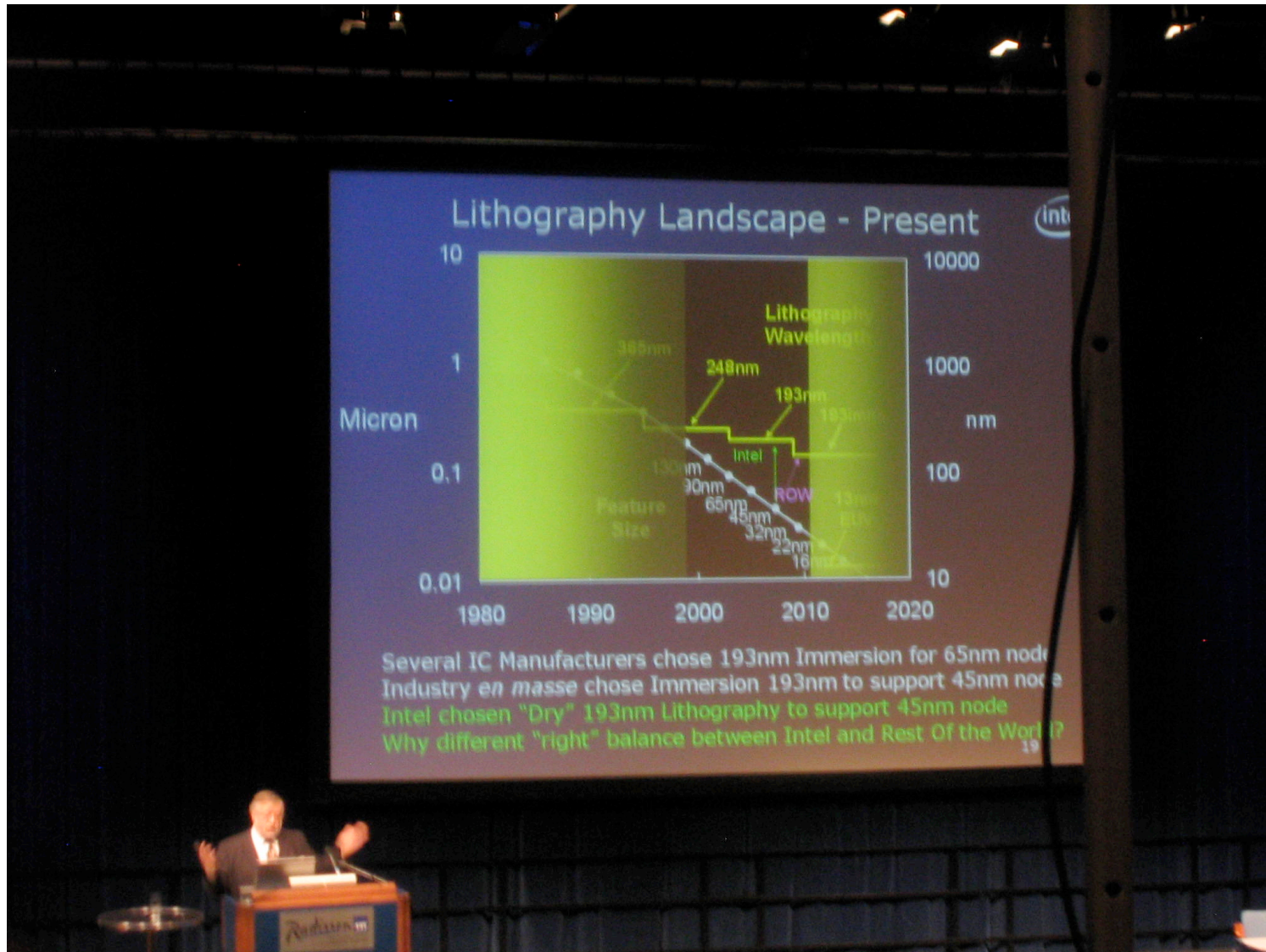
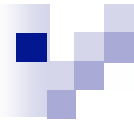
- **\$100-350 k/mask (1st year production), 3-5 months manufacturing cycle times**
- **\$50-100 k/mask (3rd year in production) and 1-2 months manufacturing cycle times**
- **Introduction of cost-effective solutions**
 - * Mask-less Lithography; Shaped Beam/Multi-Column/ Multi-Beams**
 - * Electron Projection Lithography (EPL)**
 - * Nanoimprint lithography**

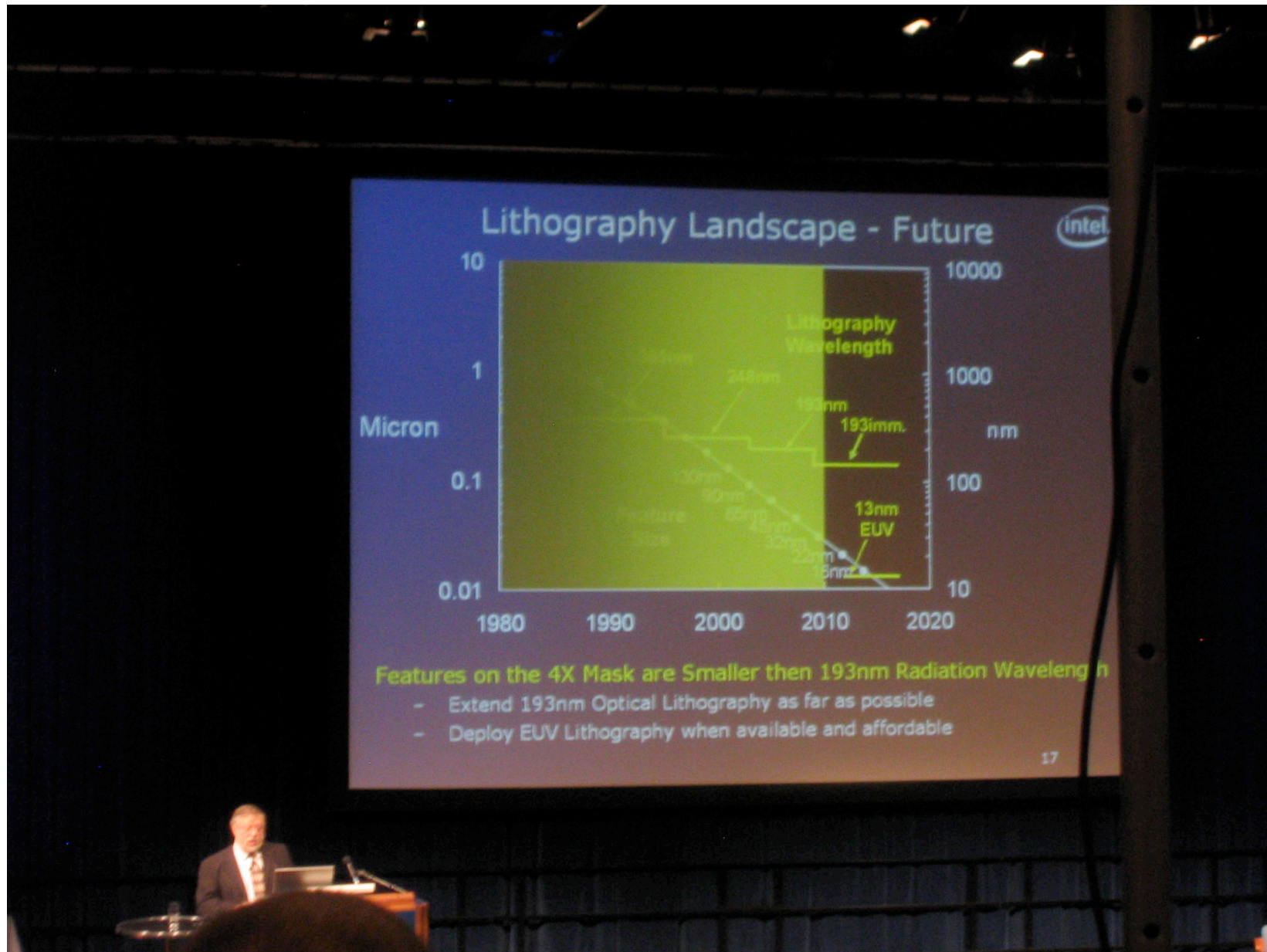
E-Beam Lithography prospects

Yan Borodovsky, Intel Corporation – DUV still going strong but...
MNE 2007

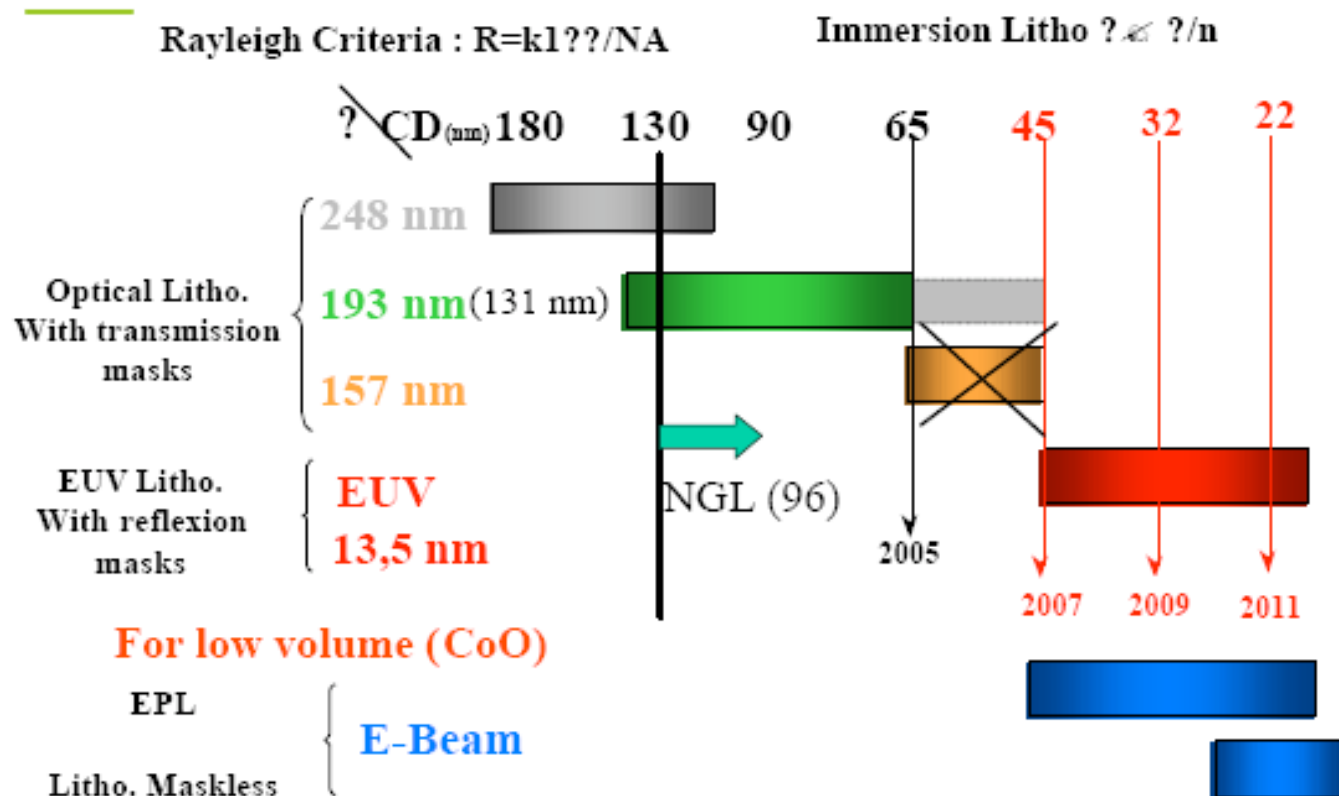


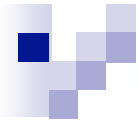




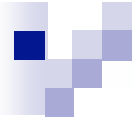


Today's Strategy



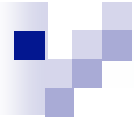


Electron-Solid Interactions



Electron-Solid Interactions

- Electron beam is capable of forming extremely fine probes, however:
 - * more complex mechanisms result when beam hits the work piece
- As electrons penetrate the resist, they experience many small angle scattering events - **forward scattering** - beam broadening
- As the electrons penetrate deeper through the resist and into the substrate, they undergo large angle scattering events, **backward scattering** – proximity effects
- Result of the above two processes – formation of secondary electrons



Forward Scattering

- The forward scattering effect during which the beam expands is given by

$$d_f = 0.9(R_t / V_b)^{1.5}$$

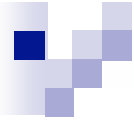
d_f is the effective increase in beam diameter in nanometers

R_t is the resist thickness in nanometers and

V_b is the beam voltage in kilovolts

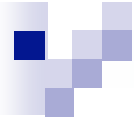
For example: a resist thickness of 400 nm exposed at 100 kV will have $d_f = 7$ nm

Using thinner resist and higher accelerating voltage, d_f can be reduced



Backward Scattering

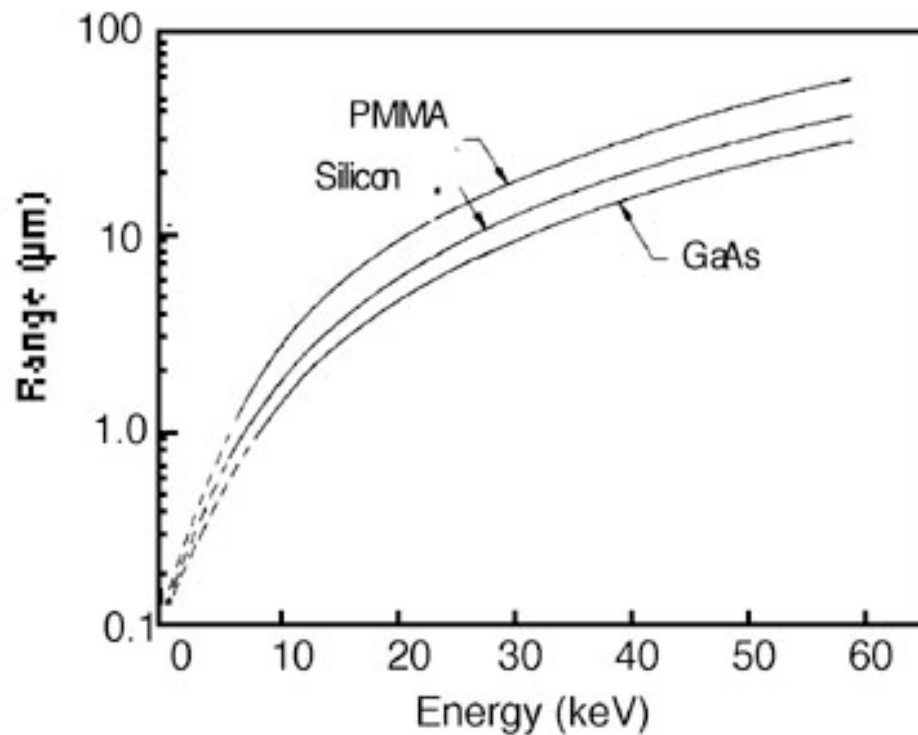
- As electrons penetrate the resist into the substrate, they experience large angle scattering events
- The electrons may return through the resist causing additional exposure – **proximity effects**
- Fraction of backscattered electrons (η) is roughly independent of beam energy
- Depends on substrate material – low atomic number gives less backscatter
- Range of backscattered electrons is longer at higher voltages
- Typical η values range from 0.17 for Silicon to 0.5 for tungsten or gold

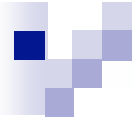


Secondary electrons

- As primary electrons slow down, much of their energy is dissipated in the form of secondary electrons
- Their range is only a few nanometers – little contribution in proximity
- Energy distribution is between 2 to 50eV
- Net result is effective beam widening –largely accounts for the minimum practical resolution achieved
- Small fraction of secondary electrons may have significant energies
 - $\sim 1\text{keV}$ – might contribute to proximity effects in the few tenths μm range

Electron range as a function of beam energy

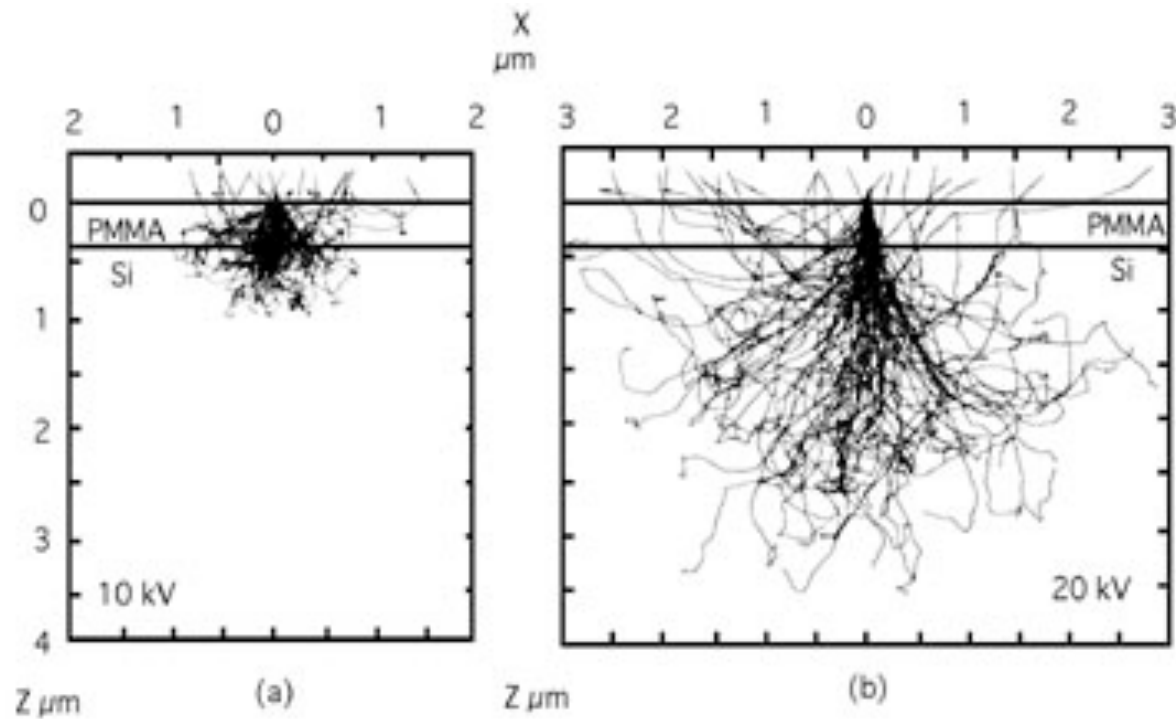




Monte Carlo modeling

- Electron scattering in resists and substrates can be modeled with reasonable accuracy
- Input to the program contains parameters as electron energy, beam diameter, film thicknesses and densities
- Output is a plot of energy deposited in resist as a function of distance from center of beam
- Software for Monte Carlo simulation of electron irradiation is available from several sources

Monte Carlo simulations

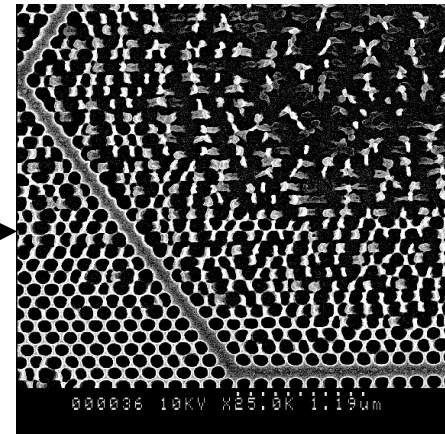
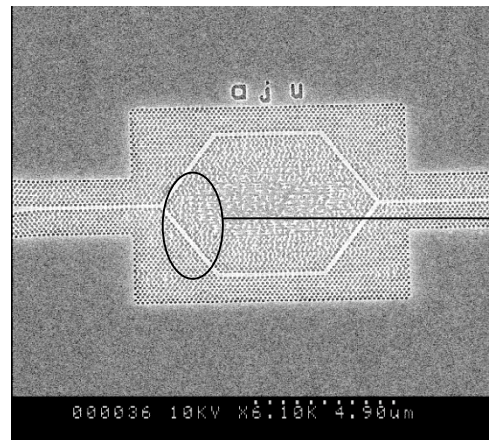


Source: D. F. Kyser and N. S. Viswanathan, "Monte Carlo simulation of spatially distributed beams in electron-beam lithography," J. Vac. Sci. Technol. **12**(6), 1305-1308 (1975)

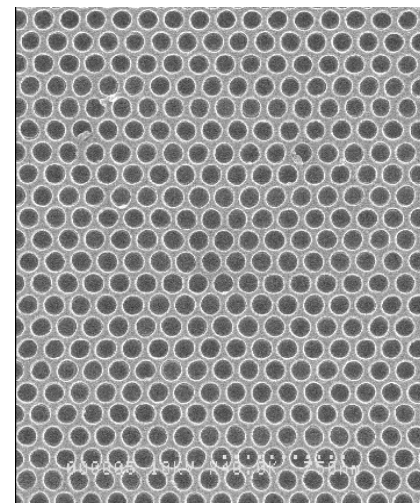
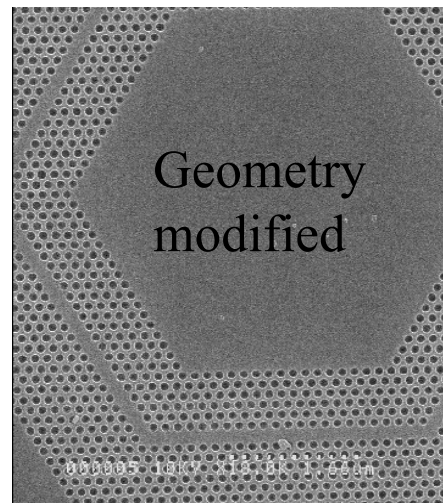


Proximity Effects

- Net result of electron scattering – dose delivered by electron beam tool is not confined to shapes that tool writes
- Resulting in linewidth/size variations



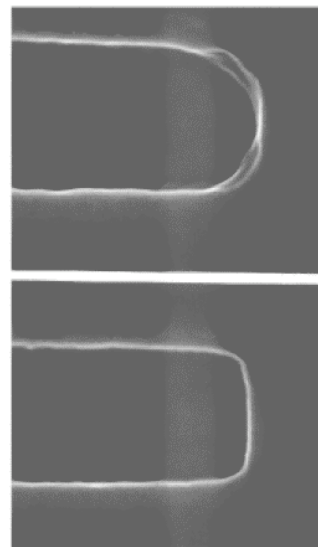
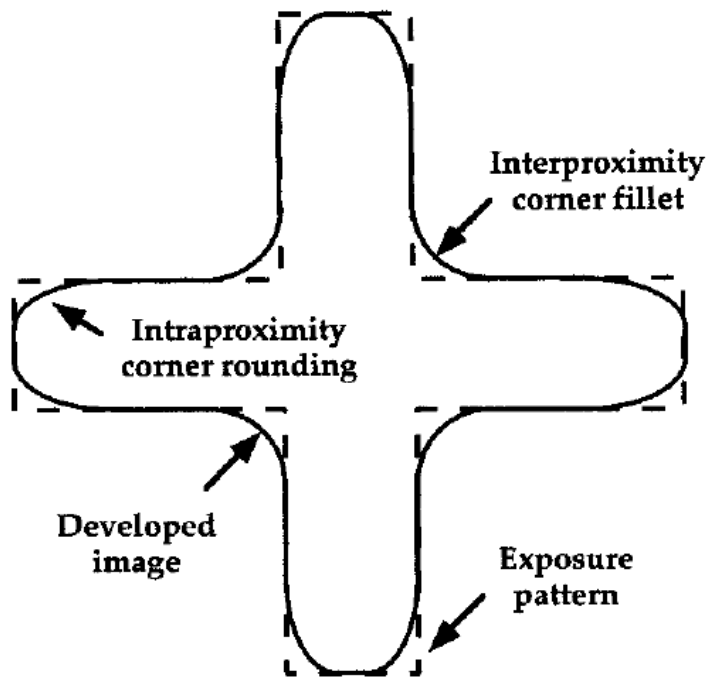
Proximity
correction



Dense areas:
dose modulation

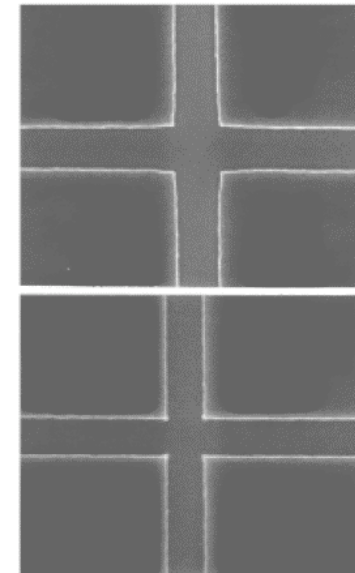
350 nm

Proximity effects



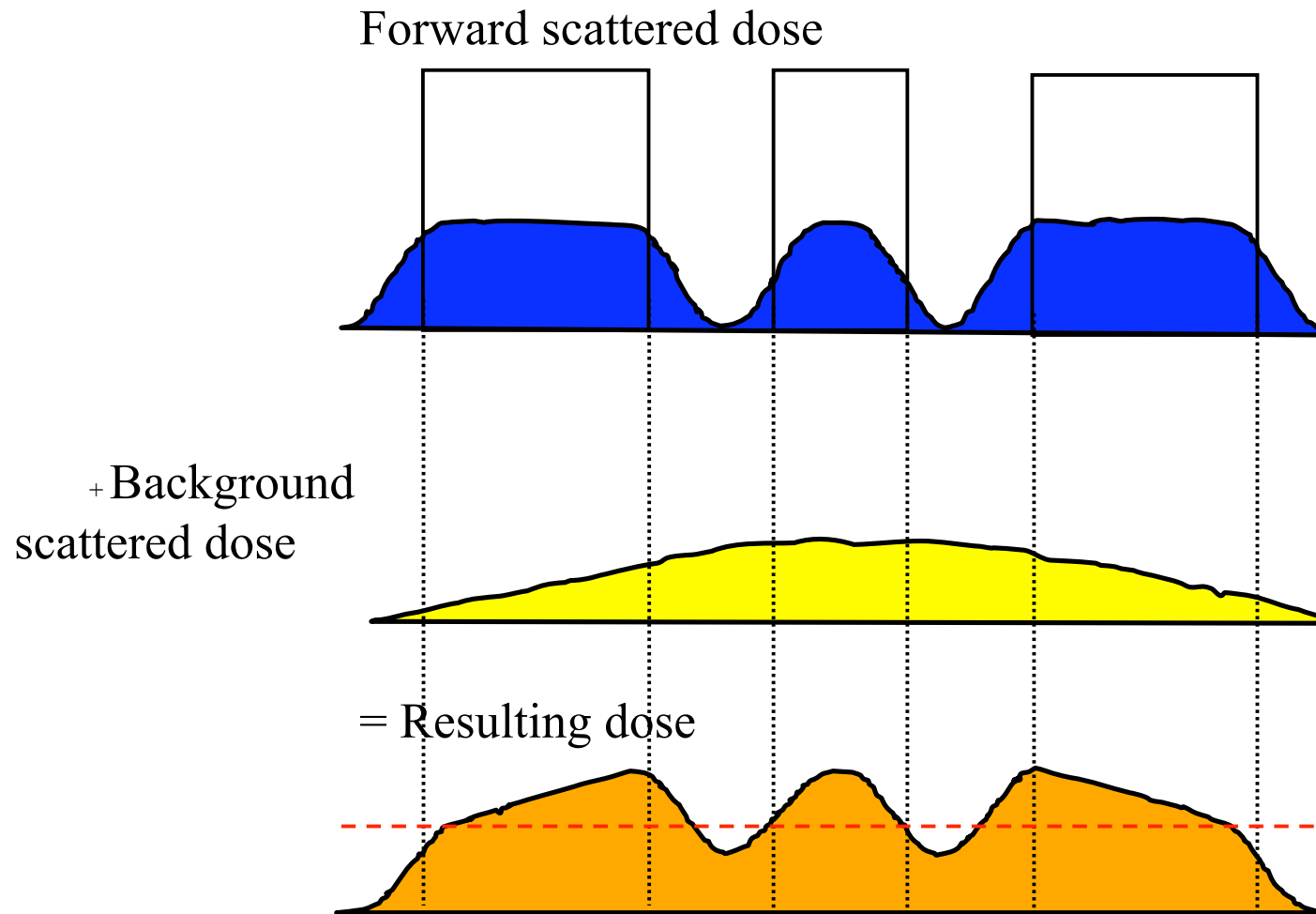
20 kV

100 kV

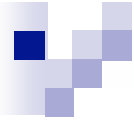




Proximity



Schematic illustration of proximity effects in electron beam lithography



Proximity Correction Methods

- Dose Modulation
- Pattern biasing
- Ghost technique
- Software packages: Proxeco, LayoutBeamer (~ >\$100,000)

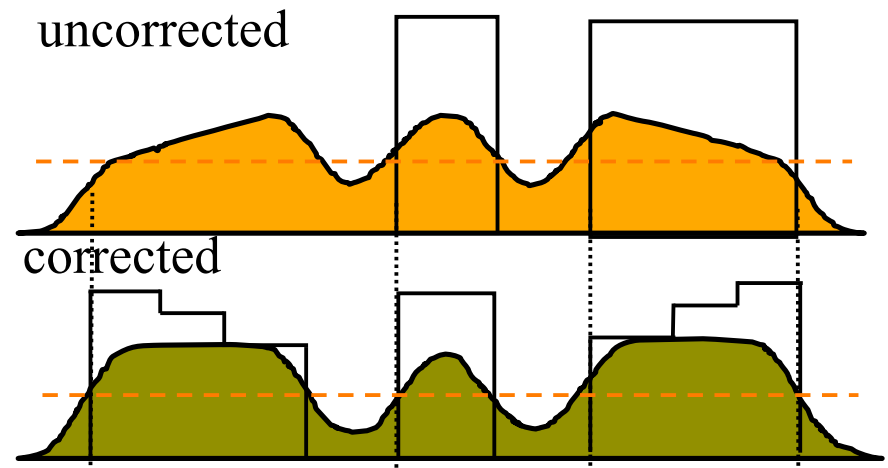
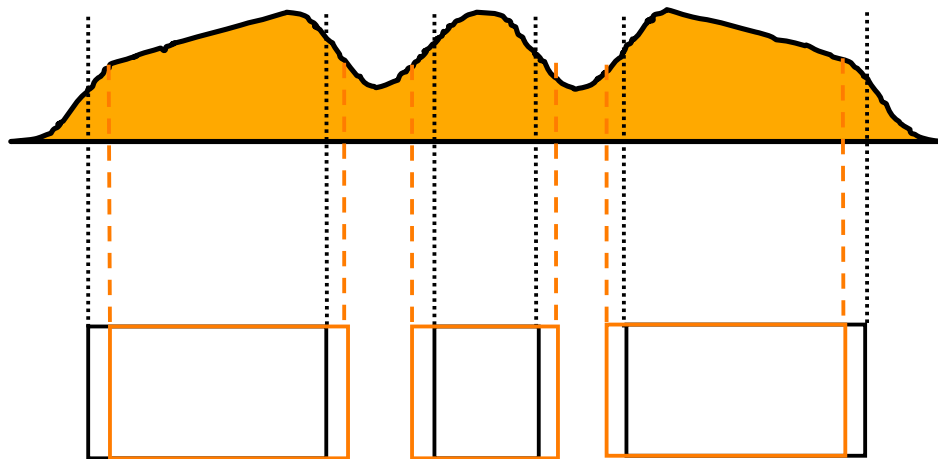
Patterns with uniform density and linewidth - proximity effects can be reduced simply by adjusting the overall dose until the desired size is reached



Dose modulation

- An algorithm where each individual shape in a pattern is assigned a specific dose and calculations of the shape to shape interactions are carried out computationally

Proximity effects on line edges



- Original line edges : specified
- Deviated line edges : actual value



Proximity correction model

The model describing the normalised proximity function as the sum of two Gaussian functions is expressed as:

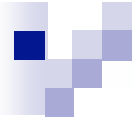
$$f(r) = \frac{1}{\pi(1+\gamma)} \left(\frac{1}{\alpha^2} \exp\left(\frac{-r^2}{\alpha^2}\right) + \frac{\gamma}{\xi^2} \exp\left(\frac{-r^2}{\xi^2}\right) \right)$$

where α is the width of direct exposure (forward scattering)

ξ is the width of back scattering

γ is the ratio ξ / α

r is the distance from the centre of the Gaussian profile of the electron beam

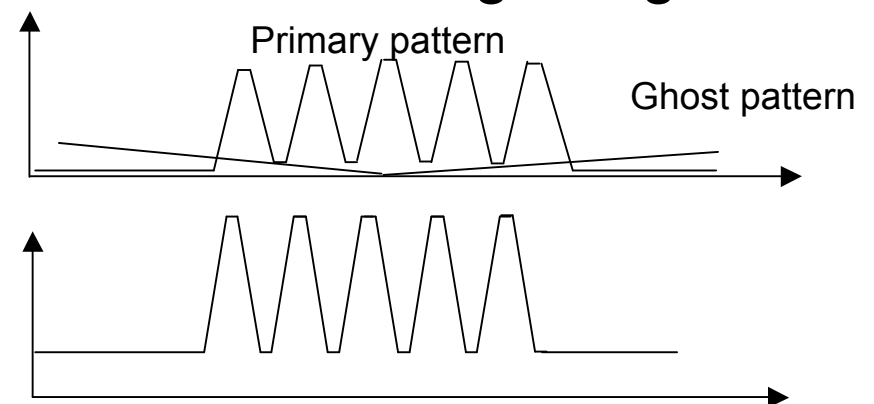


Pattern biasing

- Computationally similar approach to dose modulation – extra dose that dense patterns receive is compensated for by slightly reducing their size.
- Disadvantage: no dynamic range that dose modulation has; patterns containing both isolated and dense features will have reduced process latitude.
- Pattern biasing can not be applied to features with dimensions close to pixel spacing

Ghost technique

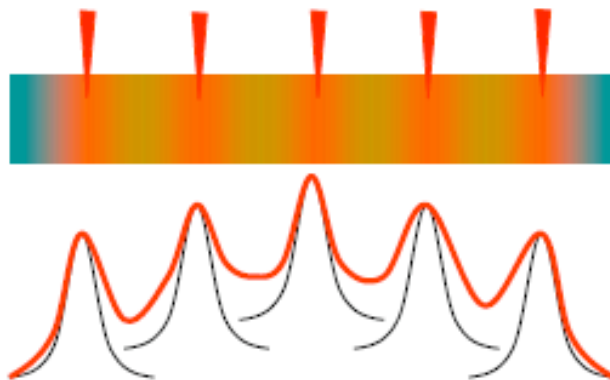
- Does not require computation at all – inverse tone of pattern written with defocused beam to mimic the shape of the backscatter distribution.
- Dose of ghost pattern is also set to match the large area backscatter dose. After the defocused inverse image is written, the pattern will have a roughly uniform dose.
- Disadvantages: Extra data preparation and writing time; slight to moderate loss of contrast in resist image; slight loss in minimum resolution





Proximity Correction – “Ghost” Exposure

Without “Ghost”

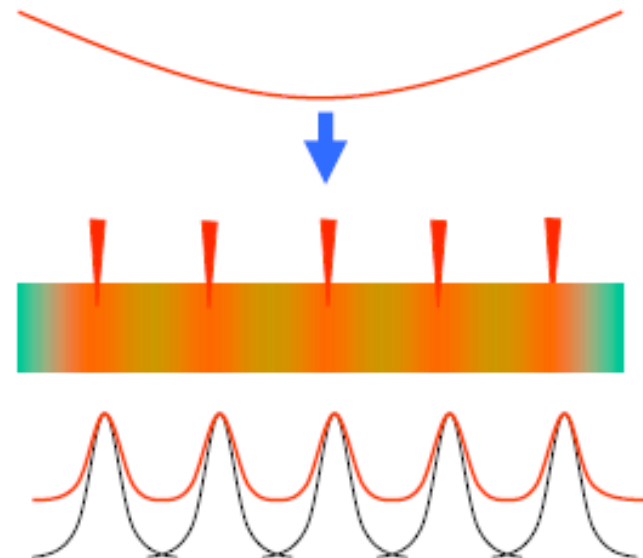


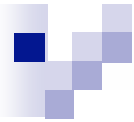
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Multiple Defocused Beam



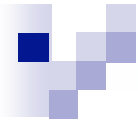
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Proximity effects remarks

- E-Beam proximity effect can be reduced at high kV ~ 100 kV
- High acceleration voltage – more energy - range of backscattered electrons longer
- Fraction of back-scattered electrons is dependent on substrate material used – lower atomic number of material, lower the back scattering
- Secondary electrons have little contribution to proximity effect since range only few nanometers – responsible for bulk resist exposure
- Higher contrast resists can help minimize linewidth variations



Simple ways to reduce proximity effects

- Adjust acceleration voltage
- Split pattern into several writings using different doses
- Adjust pattern size and shape
- Adjust dose level to compensate scattering